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08/09/01

# REISSUE PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	212235US-2 REISSUE
First Named Inventor	HIROKI SHOKI
Original Patent Number	5,936,577
Original Patent Issue Date	AUGUST 10, 1999
Title	ADAPTIVE ANTENNA

11003 U.S. PTO

09/924501



APPLICATION FOR REISSUE OF: ☒ Utility Patent ☐ Design Patent ☐ Plant Patent

## APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification and claims

3. ☒ Drawing(s)

4. ☐ Reissue Oath or Declaration

5. Original U.S. Patent

☐ Offer to surrender original patent

or ☐ Ribboned Original Patent Grant

☐ Affidavit / Declaration of Loss

6. Original U.S. Patent currently assigned?

☒ Yes ☐ No

if yes, check applicable boxes

☐ Written Consent of all Assignees

☐ 37 C.F.R. §3.73(b) Statement

☐ Power of Attorney

## ACCOMPANYING APPLICATION PARTS

7. ☐ Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).

8. ☒ Foreign Priority Claim (35 U.S.C. 119)

9. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (1)

10. ☐ English Translation of Reissue Oath/Declaration

11. ☐ Applicant claims small entity status. See 37 CFR 1.27.

12. ☐ Preliminary Amendment

13. ☒ White Advance Serial No. Postcard

14. ☒ Other: Application Data Sheet  
List of Inventors

## 15. CORRESPONDENCE ADDRESS



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Name:	Gregory J. Maier	Registration No.:	25,599
Signature:	<i>Eckhard H. Kuesters</i>	Date:	8/9/01
Name:	Eckhard H. Kuesters	Registration No.:	28,870

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**REISSUE APPLICATION FEE TRANSMITTAL FORM**

Docket Number

**212235US-2 REISSUE**

## Claims as filed - Part 1

Claims in Original Patent	For	Number Filed in Reissue Application	Number Extra	Rate	Fee
18	Total Claims	22	2	× \$18 =	\$36.00
2	Independent	4	2	× \$80 =	\$160.00
Basic Fee (37 CFR 1.16(h))					\$710.00
■ Late Filing of Declaration					\$130.00
Total of above calculations					\$1,036.00
□ Reduction by 50% for filing by small entity					\$0.00
Total Filing Fee					\$1,036.00

Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.

■ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

■ A check in the amount of \$1,036.00 to cover the filing/additional fee is enclosed.

8/9/01  
Date

  
Signature of Applicant, Attorney or Agent of Record

Eckhard H. Kuesters  
Registration No. 28,870  
Typed or printed name

Docket No.

212235US-2 REISSUE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: **HIROKI SHOKI ET AL**

**FILING DATE: Herewith**

FOR: **ADAPTIVE ANTENNA**

## LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

**SIR:**

Listed below are the names and addresses of the inventors for the above-identified patent application.

**HIROKI SHOKI**

**KAWASAKI-SHI, JAPAN**

## MANABU MUKAI

**KAWASAKI-SHI, JAPAN**

**ТОКИHIKO YOKOI**

**SAGAMIHARA-SHI, JAPAN**

**A declaration containing all the necessary information will be submitted at a later date.**

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

MAIER & NEUSTADT, P.C.  
*Richard H. Maier*

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(OSMMN 11/98)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: HIROKI SHOKI ET AL

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HERewith

FOR: ADAPTIVE ANTENNA



REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	8-276249	OCTOBER/18/1996

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ were filed in prior application Serial No. 08/953,666, filed October 17, 1997.
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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